



भारत सरकार  
GOVERNMENT OF INDIA  
कारपोरेट कार्य मंत्रालय  
MINISTRY OF CORPORATE AFFAIRS  
गंभीर कपट अन्वेषण कार्यालय  
SERIOUS FRAUD INVESTIGATION OFFICE

द्वितीय तल, पं दीनदयाल अंत्योदय भवन  
बी-3 खंड, केंद्रीय कार्यालय परिसर  
लोदी रोड, नई दिल्ली- 110003  
सं 02/01/2015-Admn.-SFIO/12260/2017

2<sup>nd</sup> Floor Pt. Deendayal Antyodaya Bhawan  
B-3 Wing, CGO Complex  
Lodi Road, New Delhi-110003  
दिनांक/Date: 23 Nov., 2017

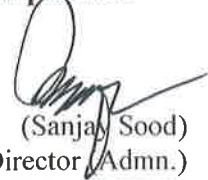
ORDER

Empanelment of Chartered Accountant Firms

In continuation to SFIO's Order No 02/01/2015-Admn.SFIO dated 08.02.2016 (copy enclosed), the following firm is empanelled with SFIO upto 20.01.2019 to take up assignment relating to forensic audit, analysis of financial data, interpretation of financial statements etc. on the same terms & conditions stipulated in the aforesaid order:-

K G Somani & Co.  
ICAI Regn No: FR No.006591N  
3/15, 4<sup>th</sup> Floor, Asaf Ali Road  
New Delhi-110002

After the expiry of this period, the firm stands automatically de-empanelled unless and until extension is granted by the competent authority. **This empanelment does not confer any right to the empanelled firm to claim any assignment from SFIO whatsoever.**

  
(Sanjay Sood)  
Joint Director (Admn.)

To

K G Somani & Co.  
ICAI Regn No: FR No.006591N  
3/15, 4<sup>th</sup> Floor, Asaf Ali Road  
New Delhi-110002

Copy to:

1. The Under Secretary, Admn.III, Ministry of Corporate Affairs wrt their letter No: A-48/2/2017-Ad.III.MCA/27054 dated 06.10.2017.
2. PPS to Director, SFIO
3. The Additional Director (IT), NIC with the request to place the above order on the sebsite of SFIO.



No. 11/2015-Admn SFIO

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SERIOUS FRAUD INVESTIGATION OFFICE

द्वितीय तल, पर्यावरण भवन  
बी-3 खंड, केंद्रीय कार्यालय परिसर  
लोदी रोड, नई दिल्ली- 110003

2<sup>ND</sup> Floor Paryavaran Bhawan  
B-3 Wing, CGO Complex  
Lodi Road, New Delhi-110003  
दिनांक/Date : 08.02.2016

## ORDER

### Empanelment of Chartered Accountant Firms

In pursuance to this office notice for Empanelment of Chartered Accountant Firms published in Times of India dated 25.07.2015 and application received in response thereto, the 91 Firms (as per list attached) have been empaneled with SFIO for a period of 3 years i.e. from 21.01.2016 to 20.01.2019 to take up assignment relating to forensic audit, analysis of financial data, interpretation of financial statements etc. After expiry of this period, the firm stands automatically de-empaneled unless and until extension is granted by the competent authority.


2. This empanelment is subject to the following terms and conditions:-

- (i) The empaneled Chartered Accountant Firm will be assigned work on case to case basis as and when required.
- (ii) While fixing the quantum of fees for an assignment, guidelines issued, from time to time, by the Institute of Chartered Accountants of India would be broadly followed.
- (iii) Before any assignment is given to the empaneled firm, following formalities will have to be completed:-
  - a) The firm will be required to enter into a contract which would, apart from other terms and conditions also include confidentiality clause as well as clause to protect disclosure/utilization of information/processes/data etc. collected/obtained during the course of assignment.
  - b) The norms of secrecy will be as per Section 3 & 4 of the Official Secret Act, 1923.
  - c) A declaration of Fidelity and Secrecy will have to be signed by the empaneled firm in the prescribed format.
  - d) The firm will have to be administered Oath of Secrecy, Oath of Allegiance, undertaking regarding conflict of interest in the prescribed format.

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- (iv) In case a firm is unable to perform the allotted task to the entire satisfaction of the Competent Authority in SFIO within the stipulated time frame due to whatsoever reason, the firm will be de-empaneled and your services could be discontinued without assigning any reason and without any prior notice. In this regard the decision of the Head of the Department of this organisation would be final. In such an eventuality, the quantum of fee (to be deducted) would be decided by the Head of the Department of this organisation.
- (v) The continuation of empanelment will depend upon the performance of the firm and SFIO reserves the right to terminate forthwith the assignment/empanelment if the situation so warrants without assigning any reason whatsoever and without any prior notice.
- (vi) Empanelment with SFIO should not be misused in any way. However, if it is found that the firm is misusing this empanelment, appropriate action will be taken and the firm will be de-empaneled without any notice.
- (vii) This empanelment of firm with SFIO is only for the purpose of specific work assigned to them from time to time.
- (viii) In case, any firm is debarred, black-listed or otherwise penalized by any Court/Regulatory Body/Govt Agencies, the empanelment would automatically deem to have been de-empaneled from SFIO and it would be the duty of the firm to intimate this office accordingly.
- (ix) **Before assigning any work, SFIO will obtain views of ICAI (the regulatory body of the profession of Chartered Accountancy) that there are no complaints/disciplinary proceedings pending or contemplated against the firm besides taking an undertaking from the concerned CAs that they have not been debarred, blacklisted or otherwise penalized by any Court/regulatory body.**
- (x) **The Competent Authority is empowered to de-empanel any of the firm(s) any time before expiry of three years without assigning any reason and without prior notice.**

3. SFIO expects a dedicated, loyal and sincere service from the firm to complete the task assigned from time to time within the stipulated time frame. **This empanelment does not confer any right to the empaneled firm to claim any assignment from SFIO whatsoever.**

  
(Harpreet Kaur)  
Addl Director (I/A)

Copy to :-

- (1) All the Firms (as per list attached)
- (2) The Under Secretary, Ad.III, Ministry of Corporate Affairs wrt to OM No A-35011/25/2014- (Admn.III dated 26.10.2015.
- (3) PPS to Director, SFIO
- (4) Addl Director (IT), NIC with the request to place the above Order on the website of SFIO.